PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q72653

Kenichiro NAKAJIMA

Appln. No.: National Stage Entry of PCT/JP03/13494

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: April 15, 2005 Examiner: Unknown

For: ALLOY CONTAINING RARE EARTH ELEMENT, PRODUCTION METHOD THEREOF,

MAGNETOSTRICTIVE DEVICE, AND MAGNETIC REFRIGERANT MATERIAL

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

JC13 R PCT/PTO 15 APR 2005



INFORMATION DISCLOSURE STATEMENT U.S. Appln. No.: National Stage PCT/JP03/13494

Attorney Docket No.: Q872653

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report dated February 10, 2004 for the corresponding International Application PCT/JP03/13494, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority. Also, Applicant submits the following explanations: the articles in Solid-State Physics and Materia Japan are cited on pages 3 and 4 of the present specification and are. discussed throughout the present specification. In addition, English language Patent Abstracts of Japan are enclosed for JP 2000-54086, 2002-356748 and 2002-69596, and the listed US 2004/0194855 corresponds to JP 2003-96547.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: April 15, 2005

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Substitute for Form 1449 A & B/PTO						National Stage Entry of
J.	INFORMATION DISCLOSURE			SURE	Application Number	PCT/JP03/13494
	STATEMENT BY APPLICANT				Confirmation Number	Unknown
					Filing Date	April 15, 2005
J	(use as many sheets as necessary)				First Named Inventor	Kenichiro NAKAJIMA
					Art Unit	Unknown
					Examiner Name	Unknown
	Sheet	1	of	1	Attorney Docket Number	072653

Examiner Initials*	Cite No.1	Document Nu	mber	PATENT DOCU Publication Date MM-DD-YYYY	
		Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Docume
		US 2004/0194855	A1	10-07-2004	Kazuaki Fukamichi et al
		US			
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		US			

			F	OREIGN PA	ATENT DOCUM	ENTS			
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or			
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶		
		JP	2000-54086	Α	02-22-2000	Kazuaki Fukamichi	Abstract		
		JР	2002-356748	Α	12-13-2002	K.K. Toshiba	Abstract		
		JP	2003-96547	A	04-03-2003	K. K. Toshiba	US 2004/0194 855		
		JP	2002-69596	Α	03-08-2002	Kazuaki Fukamichi	Abstract		
					 				
		1	NON P	L	 FERATURE DO	CUMENTS			
Examiner Initials*	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine,					Translation ⁶			
					n Metamagnetic La(Fe _x Si _{1-x}) ₁₃ Compounds," Solid-State Physics,				
		Maya FUJITA et al, "Large Volume and Magnetocaloric Effect of Itinerant-Electron Metamagnetic							
		La(Fe _x Si _{1-x}) ₁₃ Compounds," <i>Materia Japan</i> , vol. 41, no. 4, (2002), p. 269-275							
		S. FUJIEDA et al, "Large Magnetic Magnetocaloric Effect in La(Fe _x Si _{1-x}) ₁₃ Itinerant-Electron							
		Metamagnetic Compounds," <i>App. Phys. Lett.</i> , vol. 81, no. 7, August 2002, p. 1276-1278 S. FUJIEDA et al, "Giant Isotropic Magnetostriction of Itinerant-Electron Metamagnetic							
	La(Fe _{0.88} Si _{0.12}) ₁₃ H _v Compounds", <i>App. Phys. Lett.</i> , vol. 79, no. 5, July 2001, p. 653-655								

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Examiner Signature	Date Considered	·
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.